PSY 5193 – ETHICS AND PROFESSIONAL DEVELOPMENT IN PSYCHOLOGY
Tuesdays, 9-11:40 a.m., 202 NH, Spring 2012

Instructor: Maureen A. Sullivan, Ph.D.
Office: 404 N. Murray
Phone: 744-6823
Office Hours: Mon 10:30-11:20 a.m., or by appointment

<u>Prerequisites</u>: Graduate standing in the Department of Psychology or consent of the instructor.

<u>Course Objectives</u>: This course is designed to provide you with training, knowledge, and skills for ethical decision-making and your continued professional development. The course is also designed to foster students' ability to understand and critically evaluate decision-making models, ethical principles, ethical and legal codes, clinical supervision, and standards for psychology. By the end of the course, each student should have accomplished the following:

1. Identify personal attitudes, beliefs, and behaviors that could impact one's work as a psychologist.
2. Describe various ethical decision-making models and perspectives.
3. Develop knowledge and understanding of the ethical standards of the American Psychological Association.
4. Increase awareness of professional and ethical issues in the practice of psychology.
5. Gain knowledge of laws and legal procedures related to the professional practice of psychology, such as privileged communication, mandatory reporting laws, family law, protection of research subjects, civil commitment, professional liability, and licensure.
6. Apply professional, ethical, and legal standards and perspectives to specific cases provided by the instructor.
7. Develop knowledge and understanding of clinical supervision models and standards.

<u>Format of Course</u>: The course will consist of discussion, student presentations, and class demonstrations. Students are expected to have read all assigned readings prior to each class so that they may participate in class discussions. Class demonstrations (vignettes, role plays, etc.), reaction papers and other written assignments will be used. In addition, take-home exam(s) will be included.

<u>Text & Assigned Readings</u>: There are 2 required texts for the course:

Knapp, S. J., & VandeCreek, L. D. (2006). Practical ethics for psychologists: A positive approach. Washington, DC: American Psychological Association.
Falender, C. A., & Shafranske, E. P. (2004). Clinical supervision: A competency-based approach. Washington, DC: American Psychological Association

Assigned readings: readings are listed on the attached schedule and pdf files are available through the course website.
Additional resources are:
APA Ethics Website: **http://www.apa.org/ethics/**
Oklahoma statutes relevant to the practice of psychology: **http://www.osbep.ok.gov**
HIPAA Website: http://www.hhs.gov/ocr/hipaa
FERPA Website: **www.ed.gov/policy/gen/guid/fpco/ferpa**

<u>Course Requirements</u>: In addition to class readings, each student will be responsible for:
1) Attendance and active participation in thoughtful dialogue (e.g., more than just "the reading was interesting")
2) Serving as a facilitator for discussion of the topic of the day – this involves preparing points for discussion on the day's readings, presentation of additional material, and/or presentation of a class discussion or activity relevant to the topic
3) Written assignment of "ethics autobiography"
4) Final exam – this will be a take-home written exam in which you will address questions, case vignettes, etc. on topics covered in the course.

<u>Grading</u>: Grades will be based on the following criteria:

20% Class participation (up to 2 points will be assigned each week for class participation)
10% Discussion Facilitator
10% Ethics Autobiography
30% Written assignments (vignettes, exercises using decision-making model, etc.) (10% each)
30% Final Exam
Please see the attached schedule of class meetings and readings.

01/10 Introduction and overview of course; finalizing topics
Knapp & VandeCreek Ch 1 Remedial and positive ethics
Knapp & VandeCreek Ch 2 Foundations of ethical behavior
APA (2011) Report of the ethics committee, 2010. American Psychologist, 66, 393-403.

01/17 Theory, Professional Standards and Ethical Decision-Making
Knapp & VandeCreek Chapter 3 Applying ethical theories to professional standards of conduct
Knapp & VandeCreek Chapter 4 Ethical decision making
Kitchener (1984) Intuition, critical evaluation & ethical principles: The foundation for ethical decision-making. The Counseling Psychologist, 12, 43-55.
Jordan, J. S., Harvey, J. H., & Weary, G. (1988). Attributional biases in decision-making. In D. C. Turk & P. Salovey (Eds.), Reasoning, inference, and judgment in clinical psychology. New York, NY, US: Free Press, 90-106.

01/24 Competency and Ethics, Applying the decision-making model
Ethics Autobiography due in class
Knapp & VandeCreek Ch 5 Competence
Fly, B. J., van Bark, W. P., Weinman, L, Kitchener, K. S., & Lang, P. R. (1997). Ethical transgressions of psychology graduate students: Critical incidents with implications for training. Professional Psychology: Research & Practice, 28, 492-495.
Johnson, W. B., Elman, N. S., Forrest, L, Robiner, W. N., Rodolfa, E., & Schaffer, J. B. (2008). Addressing professional competence problems in trainees: Some ethical considerations. Professional Psychology: Research & Practice, 39, 589-599.
Leach, M. M., & Oakland, T. (2010) Displaying ethical behaviors by psychologists when standards are unclear. Ethics & Behavior, 20, 197-206.
Oliver, M. N. I., Bernstein, J. H., Anderson, K. G., Blashfield, R. K., & Roberts, M. C. (2004). An exploratory examination of student attitudes toward "impaired" peers in clinical psychology training programs. Professional Psychology: Research & Practice, 35, 141-147.

01/31 Professional Relationships, Multiple Relationships, Rural or Small Communities
Knapp & VandeCreek Ch 6 Multiple relationships and professional boundaries
Schank, J. A.,Helbok, C. M., Haldeman, D. C., & Gallardo, M. E. (2010). Challenges and benefits of ethical small-community practice. Professional Psychology: Research & Practice, 41, 502-510. DOI: 10.1037/a0021689
Werth, J. L., Jr., Hastings, S. L., & Riding-Malon, R. (2010). Ethical challenges of practicing in rural areas. Journal of Clinical Psychology: In session, 66, 537-548.
Younggren, J. N., & Gottlieb, M. C. (2004). Managing risk when contemplating multiple relationships. Professional Psychology: Research & Practice, 35, 255-260.

02/07 Consent and Decision Making
Knapp & VandeCreek Chapter 7 Informed Consent or Shared Decision Making
Brown, C., & Transgrud, H. B. (2008). Factors associated with acceptance & decline of client gift giving. Professional Psychology: Research & Practice, 39, 505-511.
Younggren, J. N., & Gottlieb, M. C., (2008). Termination and abandonment. Professional Psychology: Research & Practice, 39, 498-504.

02/14 Practice Issues: Confidentiality, Recordkeeping, HIPAA
Knapp & VandeCreek Chapter 8 Confidentiality, Privileged Communications, and Record Keeping
APA (2007). Record keeping guidelines. American Psychologist, 62, 993-1004.
Donner, M. B., VandeCreek, L., Gonsiorek, J. C., & Fisher, C. B. (2008). Balancing confidentiality: Protecting privacy and protecting the public. Professional Psychology: Research & Practice, 39, 369-376.
Drogin, E. Y., Connell, M., Foote, W. E., & Sturm, C. A. (2010) The American Psychological Association's Revised "Record Keeping Guidelines": Implications for the Practitioner. Professional Psychology: Research & Practice, 41, 236-243.
Richards, M. M. (2009). Electronic medical records: Confidentiality issues in the time of HIPAA. Professional Psychology: Research & Practice, 40, 550-556.

02/21 Practice Issues: Assessing Harm and Confidentiality, Forensic Psychology
Assignment #1 Case Vignette & Decision-Making Model due in class
Knapp & VandeCreek Chapter 9 Life-Endangering Patients
Knapp & VandeCreek Chapter 11 Forensic Psychology
Jobes, D. A., Rudd, M. D., Overholser, J. C., & Joiner, T. E. (2008). Ethical & competent care of suicidal patients: Contemporary challenges, new developments, and considerations for clinical practice. Professional Psychology: Research & Practice, 39, 405-413.
Neal, T. M. S. (2010). Choosing the lesser of two evils: A framework for considering the ethics of competency-for-execution evaluations. Journal of Forensic Psychology Practice, 10, 145-157.

02/28 Practice Issues: Assessment and Evaluation, Business Issues
Assignment #2 – Licensure Regulations & Requirements due in class
Knapp & VandeCreek Chapter 10 Business Issues
Knapp & VandeCreek Chapter 12 Assessment
Foster, D. F. (2010). Worldwide testing and test security issues: Ethical challenges and solutions. Ethics & Behavior, 20, 207-228.
Hill (1999). Barter: Ethical considerations in psychotherapy. Women & Therapy, 22, 81-91.
Knauss, L. K. (2001). Ethical issues in psychological assessment in school settings. Journal of Personality Assessment, 77, 231-241.
Wilde, E., Bush, S., & Zeifert, P. (2002). Ethical neuropsychological practice in medical settings. In S. S. Bush & M. L. Drexler (Eds.), Ethical Issues in Clinical Neuropsychology, 195-221.

03/06 Practice Issues: Children, Marital and Family Therapy
APA (1994). Guidelines for child custody evaluations in divorce. American Psychologist, 49, 677-680.
APA (1999). Guidelines for psychological evaluation in child protection. American Psychologist, 54, 586-593.
APA (2009). Guidelines for child custody evaluations in family law proceedings. American Psychologist, 65, 863-867.
Bell, K. (2010). Anorexia Nervosa in adolescents: Responding using the Canadian Code of Ethics for Psychologists. Canadian Psychology, 51, 249-256.
Patten, C., Barnett, T., & Houlihan, D. (1991). Ethics in marital and family therapy: A review of the literature. Professional Psychology: Research & Practice, 22, 171-175.

03/13 Special Topics & Special Settings (hospital, military, corporation, etc.)
Knapp & VandeCreek Chapter 13 Special Topics
Johnson, W. B., (2002). Consulting in the military context: Implications of the revised training principles. Consulting Psychology Journal: Practice & Research, 54, 233-241.
Staal, M. A., & King, R. E. (2000). Managing a multiple relationship environment: The ethics of military psychology. Professional Psychology: Research & Practice, 31, 698-705.
Pachter, W. S., Fox, R. E., Zimbardo, P., & Antonuccio, D. O. (2007). Corporate funding & conflicts of interest. American Psychologist, 62, 1005-1015.

03/20 SPRING BREAK – NO CLASS

03/27 Academia and Teaching, Clinical Supervision
Knapp & VandeCreek Chapter 14 Psychologists as Teachers
Falendar & Shafranske Ch 1 The practice of clinical supervision
Falendar & Shafranske Ch 3 Building technical competence
APA (2003) Use of Animals in School Projects
Vasquez, M. J. T. (1992). Psychologist as clinical supervisor: Promoting ethical practice. Professional Psychology: Research & Practice, 23, 196-202.
Gottlieb, M. C., Robinson, K., & Younggren, J. N. (2007). Multiple relations in supervision: Guidance for administrators, supervisors, and students. Professional Psychology: Research & Practice, 38, 241-247.
Kanz, J. E., (2001). Clinical-Supervision.com: Issues in the provision of online supervision. Professional Psychology: Research & Practice, 32, 415-420.
Haney, M.R. (2004). Ethical dilemmas associated with self-disclosure in student writing. Teaching of Psychology, 31,167-171.
OSU Policy on Buckley Amendment
Academic Integrity Faculty Handbook

04/03 **Clinical Supervision (cont'd)**
  Assignment #3 – Case Vignette & Decision-Making Model due in class
  Falendar & Shafranske Ch 4 Addressing personal factors in supervision
  Falendar & Shafranske Ch 7 Ethical & legal perspectives & risk management
  Falendar & Shafranske Ch 8 Evaluation of the supervisory process
  Stoltenberg, C. D. (2008). Developmental approaches to supervision. In C. A. Falendar and E. P.
    Shafranske (Eds), Casebook for clinical supervision: A competency-based approach Washington, D.C.:
    APA, pp. 39-56.
  Safran, J. D., Muran, J. C., Stevens, C. & Rothman, M. (2008). A relational approach to supervision:
    Addressing ruptures in the alliance. In C. A. Falendar and E. P. Shafranske (Eds), Casebook for clinical
    supervision: A competency-based approach Washington, D.C.: APA, pp. 137-157.

04/10 **Research & Diversity Issues**
  Knapp & VandeCreek Chapter 16 Research and Scholarship
  Angell, E., Sutton, A. J., Windridge, K., & Dixon-Woods, M. (2006). Consistency in decision making by
    research ethics committees: A controlled comparison. Journal of Medical Ethics, 32, 662-664.
  Anderson, E. E., & DuBois, J. M. (2006). The need for evidence-based research ethics: A review of the
    substance-abuse literature. Drug & Alcohol Dependence, 86, 95-105.
  Becker-Blease, K. A., & Freyd (2006). Research participants telling the truth about their lives: The ethics of
    asking and not asking about abuse. American Psychologist, 61, 218-226.
  Office for Human Research Protection (2009). International Compilation of Human Research Protection.
    U.S. Department of Health & Human Services.
  Vargas, L. A., Porter, N. & Falendar, C. A. (2008). Supervision, culture, and context. In C. A. Falendar and
    E. P. Shafranske (Eds), Casebook for clinical supervision: A competency-based approach Washington,
    D.C.: APA, pp. 121-136.

04/17 **Diversity Issues (cont'd)**
  Multicultural Guidelines
  APA (2007). Guidelines for psychological practice with girls and women. Retrieved January 8, 2008 from
    www.apa.org/about/division/girlsandwomen.pdf
  APA (2004). Guidelines for psychological practice with older adults. American Psychologist, 59, 236-
    260.
  APA (2000). Guidelines for psychotherapy with gay, lesbian and bisexual clients. American Psychologist,
    55, 1440-1451.
  Cornish, J. A. E., Gorgens, K. A., Monson, S. P., Olkin, R., Palombi, B. J., & Abels, A. V. (2008).
    Perspectives on ethical practice with people who have disabilities. Professional Psychology: Research &
    Practice, 39, 488-497.
  Lyons, H. Z., Bieschke, K. J., Dendy, A. K., Worthington, R. L., & Georgemiller, R. (2010). Psychologists'
    competence to treat lesbian, gay and bisexual clients: State of the field and strategies for improvement.
    Professional Psychology: Research & Practice, 41, 424-434.
  Pedrotti, J. T., Edwards, L. M., & Lopez, S. J. (2008). Working with multiracial clients in therapy: Bridging
    theory, research & practice. Professional Psychology: Research & Practice, 39, 192-201.

04/24 **Review, Summing Up, and Planning for Continued Professional Development &Maintaining competence**
  Consult, consult, consult!
  Assignment #4 – Continuing Education & Conference Plan due in class
  Delphin, M. E., & Rowe, M. (2008). Continuing education in cultural competence for community mental
    health practitioners. Professional Psychology: Research & Practice, 39, 182-191.
  Kennedy, P. F., Vandehey, M., Norman, W. B., & Diekhoff, G. M. (2003). Recommendations for risk-
    management practices. Professional Psychology: Research & Practice, 34, 309-311.
  Koocher, G. P. (2007). Twenty-first century ethical challenges for psychology. American Psychologist, 62,
    375-384.

05/02 **Take-home exam due**